APPENDIX A





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#### Eingereichte Unterlagen

#### Items filed

#### Pièces envoyées

Anmeldungs- (und Direktions-*) Nr./Patent Nr. Application (and Directorate*) No./Patent No. N° de la demande (et de la direction*)/n° du brevet	Ihr Zeichen Your reference Votre référence	ggfs. Art und Datum der Unterlagen** Nature and date of items (optional)** Nature et date des pièces (facultatif)**
1 PCT/US03/00390	2003946-0024	-Response to Written Opinion (5 pp.)
2		-Request for Amendment
3		under PCT Article 34 (4 pp.)
4	1	Substitute Sheets (16 pp.)
5	In In	Appendix A (10 pp.)
6	الاستخداد المستخداد المستخدال	Appendix B (1 p.)
7	IIII 573 0 8 2003 IV	Fax Cover (1 p.)
8		Receipt for Documents (2 pp.)
9	PATEMENE DAGTMENT	
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- falls bereits bekannt
- \*\* Der Eingang der angegebenen Unterlagen wird bestätigt. Enthält diese Spalte keine Eintragungen, so wird lediglich bestätigt, daß eine Sendung zu dem angegebenen Aktenzeichen eingegangen ist.
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### ATTORNEY DOCKET NUMBER: 2003946-0024 (PITA/PCT)

# IN THE EUROPEAN PATENT OFFICE AS INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

Applicant:

Eisai Co. Ltd.

Intl. Appln. No.:

PCT/US03/00390.

Intl. Filing Date:

8 January 2003

Priority:

U.S.S.N. 60/346,711 filed 8 January 2002

For:

EPONEMYCIN AND EPOXOMICIN ANALOGS AND USES

**THEREOF** 

VIA FACSIMILE 011-49-89-2399-4465 CONFIRMATION BY INTERNATIONAL COURIER

EUROPEAN PATENT OFFICE D-80298 MUNICH GERMANY Authorized Officer: Examiner

Dear Sir/Madam:

### **REQUEST FOR AMENDMENT UNDER PCT ARTICLE 34**

- 1. Applicant respectfully requests authorization from the International Preliminary Examining Authority for amendment under PCT Article 34 and respectfully submits that the replacement sheets, as submitted herewith, reflect claim amendments which do not introduce new matter. Applicant submits herewith replacement sheets number 3, 113, 114, 114a, 114b, 115-117, 125-128, 128a, 128b and 128c, to replace sheets number 3, 113-117 and 125-128, originally filed for this application.
- 2. In respect of each claim appearing in the international application based on replacement sheets 113, 114, 114a, 114b, 115-117, 125-128, 128a, 128b and 128c submitted herewith, and in accordance with PCT Section 205(b), the following claim(s) is/are:
  - (i) Unchanged: Claims 2, 18, 37, 58, 62, 64-67 and 69-70 are unchanged;
- (ii) Replaced: Claims 1, 3-7, 10-14, 16-17, 38-55, 57, 60-61, 63 and 68 are replaced with new claims 1, 3-7, 10-17, 38-55, 57, 60-61, 63 and 68, respectively;

(iii) Canceled:

Claim 8-9, 15, 56, 59 are canceled.

(iv) New:

Claims 71-82 are newly added.

A marked-up copy of Claim Replacements highlighting the changes is provided

Atty Docket No.: 2003946-0024 Client Reference: PITA/PCT



herewith as attached Appendix A. Deletions are represented in strikethrough, and additions are represented in underlining.

Applicant respectfully submits that no new matter is presented with these amendments. Specifically, claim 1, as amended, includes specific recitation of an epoxycarbonyl or boron-containing moiety for variable Q. Support for this amendment can be found throughout the specification and claims as originally filed. In addition, claim 1, as amended, includes the proviso that "when Q is a boron-containing moiety having the

جح OR<sup>Q1</sup> B OR<sup>Q2</sup> ; then

(i)

$$R_{2}$$
 $R_{3}$ 
 $R_{4}$ 
 $R_{4}$ 
 $R_{4}$ 
 $R_{5}$ 
 $R_{4}$ 
 $R_{4}$ 
 $R_{5}$ 
 $R_{5}$ 
 $R_{7}$ 
 $R_{8}$ 
 $R_{8}$ 
 $R_{8}$ 
 $R_{9}$ 
 $R_{9$ 

 $R^{Y}$  is aryl, heterocyclyl, aylalkylcarbonyl or heterocyclylalkylcarbonyl;

- (ii) if D is N or CH, and (a) w is 0, or (b) w is 1 and G is -CH(OH)-CH<sub>2</sub>-, then neither occurrence of J nor E attached to D, nor the occurrence of A attached to D when y is 0, is a nitrogen atom substituted with hydrogen or a nitrogen protecting group typically employed in peptide synthesis;
- (iii) when w is other than 0, then the occurrence of G attached to D is not N or CH substituted with  $-NR^xR^y$  where  $R^x$  is hydrogen or alkyl and  $R^y$  is hydrogen or a nitrogen protecting group typically employed in peptide synthesis; and/or

(iv) 
$$R_3$$
 $R_4$ 
 $R_4$ 

which specifically excludes from the claimed invention boron-containing compounds N-terminally substituted with a bicyclic fused-phenyl group that are specifically or generically disclosed in WO 96/132663, WO 02/096933, and WO 03/033506 (i.e., References 9, 11 and 12 listed in the Response to Written Opinion filed herewith).

Claim 3 has been amended to recite non-fused phenyl-containing compounds, which find support *inter alia* in original claim 1 (e.g., when A and J are each absent). Additional support can be found, for example in structures (VII), (VIII) and (IX) on pages 19, 20 and 23



of the specification, respectively. In addition, claim 3 includes the proviso that "when Q is a

boron-containing moiety having the structure:

$$R_{2}$$
 $R_{3}$ 
 $R_{4}$ 
 $R_{5}$ 
 $R_{5}$ 
 $R_{4}$ 
 $R_{5}$ 
 $R_{5}$ 
 $R_{7}$ 
 $R_{7$ 

 $\boldsymbol{R}^{\boldsymbol{Y}}$  is aryl, heterocyclyl, aylalkylcarbonyl or heterocyclylalkylcarbonyl;

(ii) at least one of  $R_1$ - $R_4$  is not H;

(i)

- (iii) if  $-(E)_z$ -D- is  $-CH_2$  and one of  $R_1$ - $R_4$  is MeO- or halogen, then the others are not each hydrogen;
- (iv) the occurrence of E attached to phenyl, or D when z is 0, is not N or CH substituted with  $-NR^xR^y$  where  $R^x$  is hydrogen or alkyl and  $R^y$  is hydrogen or a nitrogen protecting group typically employed in peptide synthesis; and/or

$$R_3$$
 $R_4$ 
 $(E)_z^D$ 
 $Q$ 

(v) R<sub>4</sub> O is not a nitrogen protecting group typically employed in peptide synthesis" which specifically excludes from the claimed invention boron-containing compounds N-terminally substituted with a phenyl-containing group that are specifically or generically disclosed in the Gardner et al. reference, WO 96/132663, WO 02/096933 and WO 03/033506 (i.e., References 7, 9, 11 and 12 listed in the Response to Written Opinion filed herewith).

Claim 4 finds support inter alia in structure (VIIC) on page 19 of the specification.

Claims 5-7, 10-14, 16-17, 38-55, 57, 60-61, 63 and 68 have been amended to correct claim dependency.

New claims 71-77 find support, for example, in structures VIII<sup>B</sup>-VIII<sup>H</sup> and IX<sup>B</sup>-IX<sup>H</sup> on pages 20-25 of the specification.

New claim 78 finds support, *inter alia*, in original claim 15 (now canceled), and structures XI, XI<sup>A-H</sup>, XII and XII<sup>A-H</sup> on pages 27-33 of the specification.

Support for new claims 79-82 can be found, for example, in paragraphs [0125], [0126], [0122] and [0123] of the specification.



Applicant submits that the amendments to the claims, as described above and detailed herein, do not present new matter. Thus Applicant respectfully requests entry of these. amendments, and consideration of these amendments in processing the application.

- In respect of each paragraph appearing in the international application based on 3. replacement sheet 3 submitted herewith, and in accordance with PCT Section 205(b), the following paragraph(s) is/are:
  - (i) Unchanged: Paragraphs 6 and 7 are unchanged:
  - (ii) Replaced: Paragraph 8 is replaced with new paragraph 8.

A marked-up copy of Paragraph Replacements highlighting the changes is provided herewith as attached Appendix B. Deletions are represented in strikethrough, and additions are represented in underlining.

Applicant respectfully submits that no new matter is presented with this amendment. Specifically, paragraph 8 has been amended to recite variable E, which was incorrectly typed as variable C in the specification, as originally filed.

4. The deletion of any claims and any other loss of claimed subject matter is being made solely to expedite prosecution of the subject matter now claimed, rather than in acquiescence to any positions taken by the Examiner. Applicant is submitting the present amendments without prejudice to the subsequent prosecution of claims to some or all of the subject matter which might be lost by virtue of this paper. Applicant additionally reserves the right to reintroduce the subject matter of any of the canceled claims, or subject matter which might be lost by virtue of amendments set forth in this paper, in the application, or divisional or continuing application thereof.

Applicant hereby requests that the ISA begin its examination upon this submission. Favorable action is respectfully requested.

> Respectfully submitted, CHOATE, HALL & STEWART

Dated 19 November 2003

Nadège M. Lagneau, Ph.D.

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SUBSTITUTE SHEETS



are useful, for example, for the treatment of various disorders involving proteasome activity, including, for example, cancer, immune or inflammatory disorders, or HIV.

#### BRIEF DESCRIPTION OF THE DRAWING

[0006] Figure 1 is a graphical representation depicting comparative human breast carcinoma cell growth inhibition of Paclitaxel and exemplary inventive compounds.

## DESCRIPTION OF CERTAIN PREFERRED EMBODIMENTS OF THE INVENTION

[0007] As discussed above, the demonstrated antitumor and anti-inflammatory activity of the natural products epoxomicin and eponemycin, as well as their ability to inhibit the 20S proteasome, has led to increased interest in the synthesis and biological investigation of these compounds and epoxyketones generally. In recognition of the need to further develop the therapeutic potential of this class of compounds, the present invention provides novel epoxomicin and eponemycin analogs. In certain embodiments, the compounds of the present invention can be used for the treatment of cancer and inflammatory disorders. More generally, in certain other embodiments, the compounds of the invention act as proteasome inhibitors.

## [0008] 1) General Description of Compounds of the Invention

The compounds of the invention include compounds of the general formula (I) as further defined below:

and pharmaceutically acceptable derivatives thereof;

wherein each occurrence of A, J, E, D or G is independently absent, CR<sub>A</sub>, CR<sub>A</sub>R<sub>B</sub>, C=O, O, S, NR<sub>A</sub>, or N, wherein each occurrence of R<sub>A</sub> and R<sub>B</sub> is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety;

A and J, J and D, D and E, and D and G are each independently linked by a single or double bond as valency permits;



## 1. A compound having the structure (I):

$$\begin{array}{c|c}
R_2 & & \\
R_3 & & \\
R_4 & & \\
\end{array}$$

$$\begin{array}{c|c}
R_1 & & \\
A & & \\
C & & \\
\end{array}$$

$$\begin{array}{c|c}
R_6 & & \\
C & & \\
\end{array}$$

$$\begin{array}{c|c}
R_6 & & \\
C & & \\
\end{array}$$

$$\begin{array}{c|c}
R_6 & & \\
\end{array}$$

and pharmaceutically acceptable derivatives thereof;

wherein each occurrence of A, J, E, D or G is independently CR<sub>A</sub>, CR<sub>A</sub>R<sub>B</sub>, C=O, O, S, NR<sub>A</sub>, or N, wherein each occurrence of R<sub>A</sub> and R<sub>B</sub> is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety;

A and J, J and D, D and E, and D and G are each independently linked by a single or double bond as valency permits;

w, x, y and z are each independently 0, 1, 2, 3, 4, 5 or 6, but the sum of x, y and z is 2-6;

 $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each independently hydrogen, halogen, -CN, -OR<sub>C</sub>, -SR<sub>C</sub>, -NR<sub>C</sub>R<sub>D</sub>, -(C=O)R<sub>C</sub> or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, wherein each occurrence of  $R_C$  and  $R_D$  is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or  $R_C$  and  $R_D$ , taken together, form a heteroalicyclic or heteroaryl moiety; or wherein any two adjacent groups  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$ , taken together, form an alicyclic or heteroalicyclic moiety, or an aryl or heteroaryl moiety;

R<sub>5</sub> and R<sub>6</sub> are each independently an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety; and

Q is an epoxycarbonyl moiety having the structure:

کر BOKO1

containing moiety having the structure:  ${}^{\circ}R^{Q2}$ ; wherein wherein  $R^{Q1}$  and  $R^{Q2}$  are each independently hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or an oxygen protecting group, or  $R^{Q1}$  and  $R^{Q2}$ , taken together, form a



heteroalicyclic moiety; or, when Q is an epoxyxarbonyl moiety, R<sup>Q1</sup> may also be a prodrug moiety;

with the proviso that, when Q is a boron-containing moiety having the structure:

(i)

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(E)_z$ 
 $(E$ 

- RY is aryl, heterocyclyl, aylalkylcarbonyl or heterocyclylalkylcarbonyl;
- (ii) if D is N or CH, and (a) w is 0, or (b) w is 1 and G is -CH(OH)-CH<sub>2</sub>-, then neither occurrence of J nor E attached to D, nor the occurrence of A attached to D when y is 0, is a nitrogen atom substituted with hydrogen or a nitrogen protecting group typically employed in peptide synthesis;
- (iii) when w is other than 0, then the occurrence of G attached to D is not N or CH substituted with -NR<sup>x</sup>R<sup>y</sup> where R<sup>x</sup> is hydrogen or alkyl and R<sup>y</sup> is hydrogen or a nitrogen protecting group typically employed in peptide synthesis; and/or

(iv) 
$$R_3$$
 $R_4$ 
 $R_4$ 

2. The compound of claim 1, wherein the compound has the structure:

$$\begin{array}{c|c} R_{2} & & \\ R_{3} & & \\ R_{4} & & \\ \end{array} \begin{array}{c} (A)_{x(J)_{y}} & & \\ (E)_{z}^{D} & & \\ \end{array} \begin{array}{c} (A)_{x(J)_{y}} & & \\ (B)_{w} & & \\ (E)_{z}^{D} & & \\ \end{array} \begin{array}{c} (A)_{x(J)_{y}} & & \\ (B)_{w} & & \\ (B)_{$$

3. A compound not comprising more than two consecutive  $\alpha$ -amino acid residues having the structure:

$$\begin{array}{c|c}
R_2 & & \\
R_3 & & \\
R_4 & & \\
\end{array}$$

$$\begin{array}{c|c}
R_1 & & \\
R_5 & & \\
\end{array}$$

$$\begin{array}{c|c}
R_6 & & \\
R_6 & & \\
\end{array}$$

and pharmaceutically acceptable derivatives thereof;

wherein each occurrence of E and D is independently absent, CR<sub>A</sub>, CR<sub>A</sub>R<sub>B</sub>, C=O, O, S, NR<sub>A</sub>, or N, wherein each occurrence of R<sub>A</sub> and R<sub>B</sub> is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety;

D and E are each independently linked by a single or double bond as valency permits; z is 0, 1, 2, 3, 4, 5 or 6;

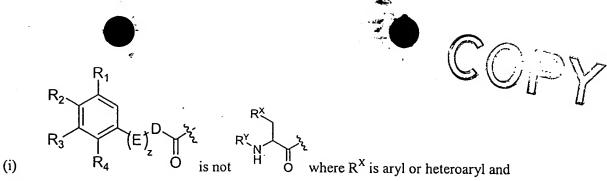
 $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each independently hydrogen, halogen, -CN, -OR<sub>C</sub>, -SR<sub>C</sub>, -NR<sub>C</sub>R<sub>D</sub>, -(C=O)R<sub>C</sub> or an aliphatic, Page 114 of 129 atic, heteroalicyclic, aryl or heteroaryl moiety, wherein each occurrence of  $R_C$  and  $R_D$  is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or  $R_C$  and  $R_D$ , taken together, form a heteroalicyclic or heteroaryl moiety; or wherein any two adjacent groups  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$ , taken together, form an alicyclic or heteroalicyclic moiety, or an aryl or heteroaryl moiety;

R<sub>5</sub> and R<sub>6</sub> are each independently an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety; and

containing moiety having the structure:  $\overset{-1}{O}R^{Q2}$ ; wherein wherein  $R^{Q1}$  and  $R^{Q2}$  are each independently hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or an oxygen protecting group, or  $R^{Q1}$  and  $R^{Q2}$ , taken together, form a heteroalicyclic moiety; or, when Q is an epoxyxarbonyl moiety,  $R^{Q1}$  may also be a prodrug moiety;

ر OR<sup>Q1</sup>

with the proviso that, when Q is a boron-containing moiety having the structure:  ${}^{S}_{QR} = {}^{QR}_{QR} =$ 



 $\boldsymbol{R}^{\boldsymbol{Y}}$  is aryl, heterocyclyl, aylalkylcarbonyl or heterocyclylalkylcarbonyl;

- (ii) at least one of R<sub>1</sub>-R<sub>4</sub> is not H;
- (iii) if  $-(E)_z$ -D- is  $-CH_2$  and one of  $R_1$ - $R_4$  is MeO- or halogen, then the others are not each hydrogen;
- (iv) the occurrence of E attached to phenyl, or D when z is 0, is not N or CH substituted with -NR<sup>x</sup>R<sup>y</sup> where R<sup>x</sup> is hydrogen or alkyl and R<sup>y</sup> is hydrogen or a nitrogen protecting group typically employed in peptide synthesis; and/or

$$R_{2}$$
 $R_{3}$ 
 $R_{4}$ 
 $(E)_{z}^{D}$ 
 $O$  is not a nitrogen protecting group typically

employed in peptide synthesis.

(v)

4. The compound of claim 3, wherein the compound has the structure:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $E_z$ 
 $D$ 
 $H$ 
 $O$ 
 $R_6$ 
 $R_5$ 
 $N$ 
 $R_6$ 

5. The compound of claim 1, wherein  $R_5$  is  $-CH_2OR_{5a}$  and the compound has the structure:

$$\begin{array}{c|c} R_1 & A \\ \hline R_3 & C \\ \hline R_4 & C \\ \hline \end{array} \begin{array}{c} A \\ \end{array} \begin{array}{c} A$$



wherein R<sub>5a</sub> is hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, an oxygen protecting group or a prodrug moiety.

6. The compound of claim 1, wherein  $R_5$  is aryl or heteroaryl and the compound has the structure:

$$\begin{array}{c|c} R_2 & R_1 \\ R_3 & (E)_z^{D-(G)} & H & O \\ R_4 & (E)_z^{D-(G)} & AR & O \end{array}$$

wherein AR is an aryl or heteroaryl moiety.

7. The compound of claim 1, wherein  $R_5$  is  $-CH_2NR_{5a}R_{5b}$  or heteroaryl and the compound has the structure:

$$R_{2}$$
 $R_{3}$ 
 $R_{4}$ 
 $R_{4}$ 
 $R_{4}$ 
 $R_{5b}$ 
 $R_{5b}$ 
 $R_{1}$ 
 $R_{2}$ 
 $R_{3}$ 
 $R_{4}$ 
 $R_{5b}$ 
 $R_{5b}$ 
 $R_{5b}$ 

wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, a nitrogen protecting group, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or a prodrug, or  $R_{5a}$  and  $R_{5b}$ , taken together, form a heteroalicyclic or heteroaryl moiety.



10. The compound of claim 1, wherein  $R_5$  is  $-CH_2OR_{5a}$  and the compound has the structure:

$$R_{2}$$
 $R_{3}$ 
 $R_{4}$ 
 $(A)_{x(J)_{y}}$ 
 $(B)_{z}$ 
 $(B)$ 

wherein R<sub>5a</sub> is hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, an oxygen protecting group or a prodrug moiety.

11. The compound of claim 1, wherein  $R_5$  is anyl or heteroaryl and the compound has the structure:

$$\begin{array}{c|c} R_1 & A \\ R_2 & A \\ R_3 & E \\ \end{array} \begin{array}{c} A \\ X \\ Y \\ Z \end{array} \begin{array}{c} A \\ Y \\ Y \\ O \end{array} \begin{array}{c} A \\ Y \\ Y \\ O \\ AR \end{array} \begin{array}{c} A \\ Y \\ O \\ O \\ AR \end{array} \begin{array}{c} A \\ Y \\ O \\ O \\ O \\ AR \end{array}$$

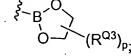
wherein AR is an aryl or heteroaryl moiety.

12. The compound of claim 1, wherein  $R_5$  is  $-CH_2NR_{5a}R_{5b}$  or heteroaryl and the compound has the structure:

wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, a nitrogen protecting group, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or a prodrug, or  $R_{5a}$  and  $R_{5b}$ , taken together, form a heteroalicyclic or heteroaryl moiety.

- 13. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1, and A, J, D, and E are each  $CH_2$ .
- 14. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein w, x and y are each 0.
- 16. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein G is  $CH_2$  and w is 0, 1, or 2.
- 17. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1; A, J, D, and E are each CH<sub>2</sub>; G is CH<sub>2</sub> and w is 0, 1, or 2.
- 18. The compound of claim 1, wherein x, y and z are each 1; A, J, D, and E are each CH<sub>2</sub> and the compound has the structure:





wherein RQ3 is lower alkyl and p is an integer from 0-4.

- 38. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1 and A-J-D-E together represent -CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-.
- 39. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x is 0, y and z are each 1, and J-D-E together represent -CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-.
- 40. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x is 0, z is 0 and E is absent and J-D together represents  $-CH_2-CH_2$ .
- The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1 and A-J-D-E together represent -N=CH-CH=N-.
- 42. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1 and A-J-D-E together represent -CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-and G is CH<sub>2</sub> and w is 0, 1 or 2.
- The compound of any one of claims 1-7, 10-12 and 18-23, wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> are each independently hydrogen, halogen, protected or unprotected hydroxyl, protected or unprotected thiol, protected or unprotected amino, alkyl, alkoxy, thioalkyl, mono-or disubstituted alkylamino, or wherein any two adjacent groups R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> or R<sub>4</sub>, taken together are a cycloalkyl, heterocycloalkyl, aryl or heteroaryl moiety,

whereby each of the alkyl moieties is independently substituted or unsubstituted, linear or branched, cyclic or acyclic, and each of the aryl and heteroaryl moieties is independently substituted or unsubstituted.

44. The compound of any one of claims 1-7, 10-12 and 18-23, wherein  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each independently hydrogen or lower alkoxy.

- 46. The compound of any one of claims 1-7, 10-12 and 18-23, wherein  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each methoxy.
- 47. The compound of any one of claims 1-7, 10-12 and 18-23, wherein  $R_1$  is hydrogen and each of  $R_2$ ,  $R_3$  and  $R_4$  are independently lower alkoxy.
- 48. The compound of any one of claims 1-7, 10-12 and 18-23, wherein  $R_1$  is hydrogen and each of  $R_2$ ,  $R_3$  and  $R_4$  are methoxy.
- 49. The compound of any one of claims 1-4, wherein  $R_5$  is alkyl, cycloalkyl, alkenyl, cycloalkenyl, alkynyl, cycloalkynyl,  $C_{1-6}OR_{5a}$ ,  $C_{1-6}NR_{5a}R_{5b}$ , aryl or heteroaryl; wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkenyl, aryl, heteroaryl,  $-C(NH_2)=N(NO_2)$ ,  $-C(=O)OR_{5c}$ ,  $-C(=O)R_{5c}$  or a protecting group; wherein  $R_{5c}$  is hydrogen, alkyl, alkenyl, alkynyl, aryl or heteroaryl.
- 50. The compound of any one of claims 1-4, wherein  $R_5$  is alkyl, cycloalkyl,  $-CH_2OR_{5a}$ ,  $-CH_2NR_{5a}R_{5b}$ ,  $-CH_2$ aryl or  $-CH_2$ heteroaryl; wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkenyl, aryl, heteroaryl,  $-C(NH_2)=N(NO_2)$ ,  $-C(=O)OR_{5c}$ ,  $-C(=O)R_{5c}$  or a protecting group; wherein  $R_{5c}$  is hydrogen, alkyl, alkenyl, aryl or heteroaryl.
- The compound of any one of claims 1-4, wherein  $R_5$  is alkyl, cycloalkyl,  $CH_2OR_{5a}$ ,  $CH_2NR_{5a}R_{5b}$  or substituted or unsubstituted - $CH_2Ph$ ; wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkenyl, aryl, heteroaryl, - $C(NH_2)=N(NO_2)$ , - $C(=O)OR_{5c}$ , - $C(=O)R_{5c}$  or a protecting group; wherein  $R_{5c}$  is hydrogen, alkyl, alkenyl, alkynyl, aryl or heteroaryl.
- 52. The compound of any one of claims 1-4, wherein R<sub>5</sub> is -CH<sub>2</sub>OH or benzyl.



- 53. The compound of any one of claims 1-4, wherein R<sub>6</sub> is alkyl, cycloalkyl, alkenyl, cycloalkynyl, aryl or heteroaryl.
- 54. The compound of any one of claims 1-4, wherein  $R_6$  is lower alkyl or aryl.
- 55. The compound of any one of claims 1-4, wherein R<sub>6</sub> is -CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>.
- 57. The compound of claim 1, 2, 3 or 4, wherein Q has the structure:

58. The compound of claim 57, wherein Q has the structure:

- 60. The compound of claim 1, 2, 3 or 4, wherein Q is  $-B(OH)_2$ .
- 61. The compound of claim 1, 2, 3 or 4, wherein Q has the structure:

wherein RQ3 is lower alkyl and p is an integer from 0-4.

62. The compound of claim 61, wherein Q has the structure:

63. A pharmaceutical composition comprising a compound of any one of claims 1-7, 10-12 and 18-35; and

a pharmaceutically acceptable carrier or diluent, and optionally further comprising an additional therapeutic agent.

- 64. The pharmaceutical of claim 63 wherein the compound is present in an amount effective to exert an antiproliferative and/or anticancer effect.
- 65. The pharmaceutical of claim 63 wherein the compound and the additional therapeutic agent are present in an amount effective to exert an antiproliferative and/or anticancer effect.
- 66. The pharmaceutical of claim 63 wherein the compound is present in an amount effective to exert an anti-inflammatory effect.
- 67. The pharmaceutical of claim 63 wherein the compound and the additional therapeutic agent are present in an amount effective to exert an anti-inflammatory effect.
- 68. A method for treating cancer comprising:

  administering to a subject in need thereof a therapeutically effective amount of a
  compound of any one of claims 1-7, 10-12 and 18-35; and

  optionally further administering an additional therapeutic agent.
- 69. The method of claim 68, wherein the method is used to treat prostate, breast, colon, bladder, cervical, skin, testicular, kidney, ovarian, stomach, brain, liver, pancreatic or esophageal cancer or lymphoma, leukemia, or multiple myeloma.
- 70. The method of claim 68, wherein the cancer is a solid tumor.



## 71. The compound of claim 3 having the structure:

wherein R<sub>5a</sub> is hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, an oxygen protecting group or a prodrug moiety.

### 72. The compound of claim 3 having the structure:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(E)_z$ 
 $D$ 
 $N$ 
 $N$ 
 $AR$ 

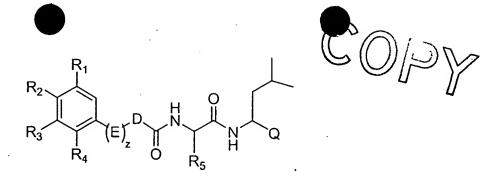
wherein AR is an aryl or heteroaryl moiety.

## 73. The compound of claim 3 having the structure:

$$R_{2}$$
 $R_{3}$ 
 $R_{4}$ 
 $R_{4}$ 
 $R_{5b}$ 
 $R_{5b}$ 

wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, a nitrogen protecting group, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or a prodrug, or  $R_{5a}$  and  $R_{5b}$ , taken together, form a heteroalicyclic or heteroaryl moiety.

#### 74. The compound of claim 3 having the structure:



## 75. The compound of claim 3 having the structure:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(E)_z$ 
 $D$ 
 $N$ 
 $N$ 
 $Q$ 
 $OR_{5a}$ 

wherein R<sub>5a</sub> is hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, an oxygen protecting group or a prodrug moiety.

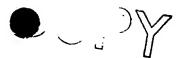
## 76. The compound of claim 3 having the structure:

$$R_{2}$$
 $R_{3}$ 
 $R_{4}$ 
 $(E)_{z}$ 
 $D$ 
 $H$ 
 $O$ 
 $H$ 
 $O$ 
 $AR$ 
 $O$ 
 $AR$ 
 $O$ 
 $AR$ 

wherein AR is an aryl or heteroaryl moiety.

## 77. The compound of claim 3 having the structure:

wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, a nitrogen protecting group, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or a prodrug, or  $R_{5a}$  and  $R_{5b}$ , taken together, form a heteroalicyclic or heteroaryl moiety.



- 78. The compound of any one of claims 2, 3 and 71-77, wherein D is absent and z is 0.
- 79. The compound of any one of claims 2, 3 and 71-77, wherein Q is -B(OH)<sub>2</sub>.
- 80. The compound of any one of claims 2, 3 and 71-77, wherein Q is a moiety having the structure:

wherein R<sup>Q3</sup> is lower alkyl and p is an integer from 0-4.

81. The compound of any one of claims 2, 3 and 71-77, wherein Q is a moiety having the structure:

82. The compound of claim 81, wherein Q is a moiety having the structure:

#### - APPENDIX A -



#### VERSION WITH MARKINGS TO SHOW CHANGES MADE

#### CLAIM REPLACEMENTS

#### 1. A compound having the structure (I):

$$\begin{array}{c|c}
R_2 & & & & & & & & & & & & & \\
R_2 & & & & & & & & & & & & \\
R_3 & & & & & & & & & & & \\
R_4 & & & & & & & & & & & \\
\end{array}$$

$$\begin{array}{c}
R_5 & & & & & & & & & \\
R_6 & & & & & & & & \\
\end{array}$$

$$\begin{array}{c}
R_6 & & & & & & & \\
R_7 & & & & & & & \\
\end{array}$$

$$\begin{array}{c}
R_6 & & & & & & \\
R_7 & & & & & & & \\
\end{array}$$

$$\begin{array}{c}
R_6 & & & & & \\
R_7 & & & & & & \\
\end{array}$$

$$\begin{array}{c}
R_6 & & & & & \\
R_7 & & & & & & \\
\end{array}$$

and pharmaceutically acceptable derivatives thereof;

wherein each occurrence of A, J, C, D or G A, J, E, D or G is independently absent, CR<sub>A</sub>, CR<sub>A</sub>R<sub>B</sub>, C=O, O, S, NR<sub>A</sub>, or N, wherein each occurrence of R<sub>A</sub> and R<sub>B</sub> is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety;

A and J, J and D, D and E, and D and G are each independently linked by a single or double bond as valency permits;

w, x, y and z are each independently 0, 1, 2, 3, 4, 5 or 6, but the sum of x, y and z is  $\theta$ -62-6;

 $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each independently hydrogen, halogen, -CN, -OR<sub>C</sub>, -SR<sub>C</sub>, -NR<sub>C</sub>R<sub>D</sub>, -(C=O)R<sub>C</sub> or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, wherein each occurrence of  $R_C$  and  $R_D$  is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or  $R_C$  and  $R_D$ , taken together, form a heteroalicyclic or heteroaryl moiety; or wherein any two adjacent groups  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$ , taken together, form an alicyclic or heteroalicyclic moiety, or an aryl or heteroaryl moiety;

 $R_5$  and  $R_6$  are each independently an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety; and

Q is an O containing heteroaliphatic or heteroalicyclic moiety

Atty Docket No.: 2003946-0024

Client Reference: PITA/PCT



Q is an epoxycarbonyl moiety having the structure:

or a boron-

containing moiety having the structure: OR<sup>Q2</sup>; wherein wherein R<sup>Q1</sup> and R<sup>Q2</sup> are each independently hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or an oxygen protecting group, or R<sup>Q1</sup> and R<sup>Q2</sup>, taken together, form a heteroalicyclic moiety; or, when Q is an epoxyxarbonyl moiety, R<sup>Q1</sup> may also be a prodrug moiety;

with the proviso that, when Q is a boron-containing moiety having the structure:

$$R_{2}$$
 $R_{3}$ 
 $R_{4}$ 
 $(A)_{x(J)}$ 
 $(B)_{z}$ 
 $(B)_{w}^{X(J)}$ 
 $(B)_{w}^$ 

- (i) R<sub>4</sub> is not H' where R<sup>X</sup> is aryl or heteroaryl and R<sup>Y</sup> is aryl, heterocyclyl, aylalkylcarbonyl or heterocyclylalkylcarbonyl;
- (ii) if D is N or CH, and (a) w is 0, or (b) w is 1 and G is -CH(OH)-CH<sub>2</sub>-, then neither occurrence of J nor E attached to D, nor the occurrence of A attached to D when y is 0, is a nitrogen atom substituted with hydrogen or a nitrogen protecting group typically employed in peptide synthesis;
- (iii) when w is other than 0, then the occurrence of G attached to D is not N or CH substituted with -NR<sup>x</sup>R<sup>y</sup> where R<sup>x</sup> is hydrogen or alkyl and R<sup>y</sup> is hydrogen or a nitrogen protecting group typically employed in peptide synthesis; and/or

$$R_{2}$$
 $R_{3}$ 
 $R_{4}$ 
 $(E)_{z}^{D}$ 
 $(G)_{w\xi}$ 
 $(E)_{z}^{D}$ 
 $(G)_{w\xi}$ 
 $(E)_{z}^{D}$ 
 $(G)_{w\xi}$ 
 $(E)_{z}^{D}$ 
 $(G)_{w\xi}$ 
 $(E)_{z}^{D}$ 
 $(G)_{w\xi}$ 
 $(E)_{z}^{D}$ 
 $(E)_{$ 

3. The A compound not comprising more than two consecutive α-amino acid residues of claim 1, wherein Q is an epoxycarbonyl moiety and the compound has having the structure:

(iv)



wherein R<sup>Q1</sup> is hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, an oxygen protecting group or a prodrug moiety.

$$\begin{array}{c|c}
R_2 & H & O & R_6 \\
R_3 & R_4 & E \end{pmatrix}_z & D & H & O & R_6 \\
\hline
R_5 & N & Q & R_5 & R_5$$

and pharmaceutically acceptable derivatives thereof;

wherein each occurrence of E and D is independently absent, CR<sub>A</sub>, CR<sub>A</sub>R<sub>B</sub>, C=O, O, S, NR<sub>A</sub>, or N, wherein each occurrence of R<sub>A</sub> and R<sub>B</sub> is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety;

D and E are each independently linked by a single or double bond as valency permits; z is 0, 1, 2, 3, 4, 5 or 6;

 $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each independently hydrogen, halogen, -CN, -OR<sub>C</sub>, -SR<sub>C</sub>, - NR<sub>C</sub>R<sub>D</sub>, -(C=O)R<sub>C</sub> or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, wherein each occurrence of  $R_C$  and  $R_D$  is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or  $R_C$  and  $R_D$ , taken together, form a heteroalicyclic or heteroaryl moiety; or wherein any two adjacent groups  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$ , taken together, form an alicyclic or heteroalicyclic moiety, or an aryl or heteroaryl moiety;

R<sub>5</sub> and R<sub>6</sub> are each independently an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety; and

O is an epoxycarbonyl moiety having the structure:

, or a boron-

containing moiety having the structure:

ORQ2 ; wh

; wherein wherein RQ1 and RQ2 are each

independently hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or an oxygen protecting group, or R<sup>Q1</sup> and R<sup>Q2</sup>, taken together, form a heteroalicyclic moiety; or, when Q is an epoxyxarbonyl moiety, R<sup>Q1</sup> may also be a prodrug moiety;

with the proviso that, when Q is a boron-containing moiety having the structure:

(i)

$$R_{2}$$
 $R_{3}$ 
 $R_{4}$ 
 $R_{4}$ 
 $R_{5}$ 
 $R_{7}$ 
 $R_{7$ 

RY is aryl, heterocyclyl, aylalkylcarbonyl or heterocyclylalkylcarbonyl;

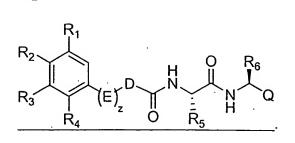
- (ii) at least one of R<sub>1</sub>-R<sub>4</sub> is not H;
- (iii) if  $-(E)_z$ -D- is  $-CH_2$  and one of  $R_1$ - $R_4$  is MeO- or halogen, then the others are not each hydrogen;
- (iv) the occurrence of E attached to phenyl, or D when z is 0, is not N or CH substituted with -NR<sup>x</sup>R<sup>y</sup> where R<sup>x</sup> is hydrogen or alkyl and R<sup>y</sup> is hydrogen or a nitrogen protecting group typically employed in peptide synthesis; and/or

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(E)_z^D$ 
 $C$ 
is not a nitrogen protecting group typically

employed in peptide synthesis.

(v)

4. The compound of claim 3, wherein the compound has the structure:





5. The compound of elaim-3 claim 1, wherein  $R_5$  is  $-CH_2OR_{5a}$  and the compound has the structure:

$$\begin{array}{c|c} R_1 & (A)_{x(J)_y} & H & O \\ R_3 & (E)_z^{D-(G)_w} & N & O \\ & OR_{5a} & O \end{array}$$

wherein  $R_{5a}$  is hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, an oxygen protecting group or a prodrug moiety.

6. The compound of elaim 3 claim 1, wherein  $R_5$  is anylor heteroaryl and the compound has the structure:

$$\begin{array}{c|c} R_1 & A \\ \hline R_2 & A \\ \hline R_3 & C \\ \hline R_4 & C \\ \hline \end{array}$$

wherein AR is an aryl or heteroaryl moiety.

7. The compound of elaim 3 claim 1, wherein  $R_5$  is  $-CH_2NR_{5a}R_{5b}$  or heteroaryl and the compound has the structure:

$$\begin{array}{c} R_{2} \\ R_{3} \\ R_{4} \end{array} \begin{array}{c} (A)_{x_{1}, y_{2}} \\ (E)_{z} \\ (E)_{z} \end{array} \begin{array}{c} (A)_{x_{1}, y_{2}} \\ (E)_{y_{2}} \\ (E)_{y_{2}} \\ (E)_{y_{3}} \\ (E)_{y_{4}} \\ (E)_{y_{5}} \\ (E)_{y_{5}}$$

wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, a nitrogen protecting group, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or a prodrug, or  $R_{5a}$  and  $R_{5b}$ , taken together, form a heteroalicyclic or heteroaryl moiety.

10. The compound of elaim 3 claim 1, wherein  $R_5$  is  $-CH_2OR_{5a}$  and the compound has the structure:

$$R_{2}$$
 $R_{3}$ 
 $R_{4}$ 
 $(A)_{x(J)_{y}}$ 
 $(B)_{z}$ 
 $(B)$ 

wherein  $R_{5a}$  is hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, an oxygen protecting group or a prodrug moiety.

11. The compound of elaim 8 claim 1, wherein  $R_5$  is anylor heteroaryl and the compound has the structure:

$$\begin{array}{c|c} R_{2} & A \\ R_{3} & C \\ R_{4} & C \end{array}$$

$$\begin{array}{c|c} R_{1} & A \\ C & C \\ C & C \\ C & C \end{array}$$

$$\begin{array}{c|c} A & C \\ C &$$

wherein AR is an aryl or heteroaryl moiety.

12. The compound of elaim-8 claim 1, wherein  $R_5$  is  $-CH_2NR_{5a}R_{5b}$  or heteroaryl and the compound has the structure:

$$\begin{array}{c|c} R_2 & & \\ R_3 & & \\ R_4 & & \\ \end{array} \begin{array}{c} (A)_{(J)_y} & & \\ (E)_z^{D-(G)_w} & & \\ (E$$

wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, a nitrogen protecting group, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or a prodrug, or  $R_{5a}$  and  $R_{5b}$ , taken together, form a heteroalicyclic or heteroaryl moiety.

- 13. The compound of any one of claims 1-12 claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1, and A, J, D, and E are each CH<sub>2</sub>.
- 14. The compound of any one of claims 1-12 claims 1, 2, 5-7, and 10-12, wherein w, x and y are each 0.
- 16. The compound of any one of elaims 1-12 claims 1, 2, 5-7, and 10-12, wherein G is CH<sub>2</sub> and w is 0, 1, or 2.
- 17. The compound of any one of claims 1-12 claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1; A, J, D, and E are each CH<sub>2</sub>; G is CH<sub>2</sub> and w is 0, 1, or 2.
- 38. The compound of any one of claims 1-12 claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1 and A-J-D-E together represent -CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>
- 39. The compound of any one of elaims 1–12 claims 1, 2, 5-7, and 10-12, wherein x is 0 and A is absent and y and z are each 1-wherein x is 0, y and z are each 1, and J-D-E together represent  $-CH_2-CH_2-CH_2$ .
- 40. The compound of any one of elaims 1-12 claims 1, 2, 5-7, and 10-12, wherein x is 0 and A is absent, z is 0 and E is absent and J-D together represents -CH<sub>2</sub>-CH<sub>2</sub>-.

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• C S

- 41. The compound of any one of claims 1-12 claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1 and A-J-D-E together represent -N=CH-CH=N-.
- 42. The compound of any one of elaims 1-12 claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1 and A-J-D-E together represent -CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-and G is CH<sub>2</sub> and w is 0, 1 or 2.
- 43. The compound of any one of elaims 1-12 claims 1-7, 10-12 and 18-23, wherein  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each independently hydrogen, halogen, protected or unprotected hydroxyl, protected or unprotected thiol, protected or unprotected amino, alkyl, alkoxy, thioalkyl, mono-or di-substituted alkylamino, or wherein any two adjacent groups  $R_1$ ,  $R_2$ ,  $R_3$  or  $R_4$ , taken together are a cycloalkyl, heterocycloalkyl, aryl or heteroaryl moiety,

whereby each of the alkyl moieties is independently substituted or unsubstituted, linear or branched, cyclic or acyclic, and each of the aryl and heteroaryl moieties is independently substituted or unsubstituted.

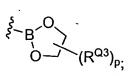
- 44. The compound of any one of elaims 1-12 claims 1-7, 10-12 and 18-23, wherein  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each independently hydrogen or lower alkoxy.
- 45. The compound of any one of elaims 1-12 claims 1-7, 10-12 and 18-23, wherein  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each independently hydrogen or methoxy.
- 46. The compound of any one of elaims 1-12 claims 1-7, 10-12 and 18-23, wherein  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each methoxy.
- 47. The compound of any one of elaims 1-12 claims 1-7, 10-12 and 18-23, wherein  $R_1$  is hydrogen and each of  $R_2$ ,  $R_3$  and  $R_4$  are independently lower alkoxy.
- 48. The compound of any one of elaims 1-12 claims 1-7, 10-12 and 18-23, wherein  $R_1$  is hydrogen and each of  $R_2$ ,  $R_3$  and  $R_4$  are methoxy.
- 49. The compound of any one of claims 1-4 and 8-9, wherein R<sub>5</sub> is alkyl, cycloalkyl, alkenyl, cycloalkynyl, C<sub>1-6</sub>OR<sub>5a</sub>, C<sub>1-6</sub>NR<sub>5a</sub>R<sub>5b</sub>, aryl or heteroaryl;

eynyl, cycloalkyl,

wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkenyl, aryl, heteroaryl,  $-C(NH_2)=N(NO_2)$ ,  $-C(=O)OR_{5c}$ ,  $-C(=O)R_{5c}$  or a protecting group; wherein  $R_{5c}$  is hydrogen, alkyl, alkenyl, alkynyl, aryl or heteroaryl.

- 50. The compound of any one of claims 1-4 and 8-9, wherein  $R_5$  is alkyl, cycloalkyl,  $CH_2OR_{5a}$ , - $CH_2NR_{5a}R_{5b}$ , - $CH_2$ aryl or - $CH_2$ heteroaryl; wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkenyl, aryl, heteroaryl,  $C(NH_2)=N(NO_2)$ , - $C(=O)OR_{5c}$ , - $C(=O)R_{5c}$  or a protecting group; wherein  $R_{5c}$  is hydrogen, alkyl, alkenyl, alkynyl, aryl or heteroaryl.
- 51. The compound of any one of claims 1-4 and 8-9, wherein  $R_5$  is alkyl, cycloalkyl,  $CH_2OR_{5a}$ ,  $CH_2NR_{5a}R_{5b}$  or substituted or unsubstituted - $CH_2Ph$ ; wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkenyl, aryl, heteroaryl, - $C(NH_2)=N(NO_2)$ , - $C(=O)OR_{5c}$ , - $C(=O)R_{5c}$  or a protecting group; wherein  $R_{5c}$  is hydrogen, alkyl, alkenyl, alkynyl, aryl or heteroaryl.
- 52. The compound of any one of claims 1-4 and 8-9, wherein  $R_5$  is  $-CH_2OH$  or benzyl.
- 53. The compound of any one of claims 1-4 and 8-9, wherein R<sub>6</sub> is alkyl, cycloalkyl, alkenyl, cycloalkynyl, aryl or heteroaryl.
- 54. The compound of any one of claims 1-4 and 8-9, wherein  $R_6$  is lower alkyl or aryl.
- 55. The compound of any one of claims 1-4 and 8-9, wherein R<sub>6</sub> is -CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>.
- 57. The compound of claim 1, 2, 3 or 4, wherein Q has the structure:

- 60. The compound of elaim 59 claim 1, 2, 3 or 4, wherein Q is -B(OH)<sub>2</sub>.
- 61. The compound of elaim 59 claim 1, 2, 3 or 4, wherein Q has the structure:





wherein RQ3 is lower alkyl and p is an integer from 0-4.

63. A pharmaceutical composition comprising a compound of any one of elaims 1-12 claims 1-7, 10-12 and 18-35; and

a pharmaceutically acceptable carrier or diluent, and optionally further comprising an additional therapeutic agent.

68. A method for treating cancer comprising:

administering to a subject in need thereof a therapeutically effective amount of a compound of any one of claims 1-12 claims 1-7, 10-12 and 18-35; and optionally further administering an additional therapeutic agent.

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#### - APPENDIX B -



### VERSION WITH MARKINGS TO SHOW CHANGES MADE

#### PARAGRAPH REPLACEMENTS

#### [0008] 1) General Description of Compounds of the Invention

The compounds of the invention include compounds of the general formula (I) as further defined below:

$$\begin{array}{c|c}
R_1 & A & O & R_6 \\
R_2 & A & O & R_6 \\
R_3 & R_4 & O & R_5 & R_5
\end{array}$$
(I)

and pharmaceutically acceptable derivatives thereof;

wherein each occurrence of A, J, C, D or G A, J, E, D or G is independently absent, CR<sub>A</sub>, CR<sub>A</sub>R<sub>B</sub>, C=O, O, S, NR<sub>A</sub>, or N, wherein each occurrence of R<sub>A</sub> and R<sub>B</sub> is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety;

A and J, J and D, D and E, and D and G are each independently linked by a single or double bond as valency permits;

w, x, y and z are each independently 0, 1, 2, 3, 4, 5 or 6, but the sum of x, y and z is 0-6;

 $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each independently hydrogen, halogen, -CN, -OR<sub>C</sub>, -SR<sub>C</sub>, -NR<sub>C</sub>R<sub>D</sub>, -(C=O)R<sub>C</sub> or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, wherein each occurrence of  $R_C$  and  $R_D$  is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or  $R_C$  and  $R_D$ , taken together, form a heteroalicyclic or heteroaryl moiety; or wherein any two adjacent groups  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$ , taken together, form an alicyclic or heteroalicyclic moiety, or an aryl or heteroaryl moiety;

R<sub>5</sub> and R<sub>6</sub> are each independently an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety; and

Q is an O-containing heteroaliphatic or heteroalicyclic moiety.

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APPENDIX B

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 2003946-0024(PITA)		FOR FURTHER ACTION  See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)						
	mation T/US		lication No. 0390	International filing date 08.01.2003	(day/mont	hlyear) -	Priority date (day/month/ye	ear)
Inte	mation	al Pate	ent Classification (IPC) or bo	oth national classification	and IPC		<u> </u>	
Co	7D30	3/36				•	·	
	•							
Арр	licant							
EIS	AI C	D. LT	D. et al.					
1.	<ol> <li>This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</li> </ol>					mining		
2.	This	REP	ORT consists of a total o	f 7 sheets, including t	his cover	sheet.	•	
	☒	bee	report is also accompar n amended and are the t Rule 70.16 and Section	pasis for this report an	d/or sheet	s containing re	on, claims and/or drawing ectifications made before	s which have this Authority
					uve manc	actions under the	ierci).	
	i ne:	se an	nexes consist of a total o	1 15 sheets.	•			
						·		71
						,		
3.	This	repo	rt contains indications rel	ating to the following i	tems:		-	
	i	$\boxtimes$	Basis of the opinion					
	11		Priority					İ
	111	☒	Non-establishment of o	pinion with regard to r	ovelty, in	ventive step ar	nd industrial applicability	
	IV		Lack of unity of invention					
	V		Reasoned statement un citations and explanation	nder Rule 66.2(a)(ii) w ons supporting such st	ith regard atement	I to novelty, inv	rentive step or industrial a	ipplicability;
	VI		Certain documents cite	d				
	VII ·		Certain defects in the in	nternational application	า			
	VIII		Certain observations or	n the international app	lication			
							•	
Date of submission of the demand Date of completion of this report								
· · ·								
25.07.2003			05.02.2	2004				
	Name and mailing address of the international			Authoriz	ed Officer			
preliminary examining authority:  European Patent Office			STATE OF SALES					
D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d		C namu d	Ousset	t, J-B				
	<u> </u>		: +49 89 2399 - 0 1X: 52365 :: +49 89 2399 - 4465	о ерина а	Telephoi	ne No. +49 89 23	399-8271	Tax Day Duck Park

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US 03/00390

I. Basi	is of	the	report	
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1. With regard to the **elements** of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)):

	Des	cription, Pages					
	1-1	12	as originally filed				
	3a		received on 21.11.2003 with letter of 19.11.2003				
	Cla	ims, Numbers					
			7 received on 21.11.2002 with letter of 10.11.2002				
		10-14, 16-18, 38-55, 5 60-82	7, received on 21.11.2003 with letter of 19.11.2003				
	Dra	wings, Sheets					
	1/1		as originally filed				
2.	Witl lang	ith regard to the <b>language</b> , all the elements marked above were available or furnished to this Authority in the nguage in which the international application was filed, unless otherwise indicated under this item.					
	The	se elements were available or furnished to this Authority in the following language: , which is:					
		the language of a trans	slation furnished for the purposes of the international search (under Rule 23.1(b)).				
		the language of publica	ation of the international application (under Rule 48.3(b)).				
		the language of a trans Rule 55.2 and/or 55.3)	slation furnished for the purposes of international preliminary examination (under				
3.	Witl inte	Ith regard to any nucleotide and/or amino acid sequence disclosed in the international application, the sternational preliminary examination was carried out on the basis of the sequence listing:					
		contained in the interna	ational application in written form.				
		filed together with the i	international application in computer readable form.				
		furnished subsequently	y to this Authority in written form.				
		furnished subsequently	y to this Authority in computer readable form.				
		The statement that the in the international app	e subsequently furnished written sequence listing does not go beyond the disclosure plication as filed has been furnished.				
		The statement that the listing has been furnish	information recorded in computer readable form is identical to the written sequence hed.				
ŀ.	The	amendments have res	sulted in the cancellation of:				
		the description, p	ages:				
		the claims, N	los.:				
		the drawings, s	heets:				

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US 03/00390

	•						
5.		This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)).					
		(Any replacement sheet contareport.)	ining s	such amendn	nents must i	t be referred to under item 1 and annexed to th	าเร
6. <sub>.</sub>	Add	litional observations, if necessa	ıry:				
Ш.	Nor	n-establishment of opinion w	ith reg	ard to nove	lty, inventi	tive step and industrial applicability	
1.		e questions whether the claimed invention appears to be novel, to involve an inventive step (to be non- vious), or to be industrially applicable have not been examined in respect of:					
		the entire international application,					
	$\boxtimes$	claims Nos. 68-70				•	
		because:					
	☒	3-70 relate to the following subject matter which specify):	h				
		see separate sheet					
		nents below) or said claims Nos. are so unclear	٢				
		the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.					
		no international search report has been established for the said claims Nos.					
<ol><li>A meaningful international preliminary examination cannot be carried out due to the failure of the ror amino acid sequence listing to comply with the standard provided for in Annex C of the Adminis Instructions:</li></ol>							nd.
the written form has not been furnished or does not comply with the Standard.							
		the computer readable form has not been furnished or does not comply with the Standard.					
v.		soned statement under Artic tions and explanations supp				elty, inventive step or industrial applicability	/;
1.	Stat	tement					
•	Nov	velty (N)	Yes: No:	Claims Claims	1-67	4 •	
	Inve	entive step (IS)	Yes: No:	Claims Claims	1-67	·	
	Indu	ustrial applicability (IA)	Yes: No:	Claims Claims	1-67		

2. Citations and explanations

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

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see separate sheet

## INTERNATIONAL PRELIMINARY International application No. PCT/US03/00390 EXAMINATION REPORT - SEPARATE SHEET

### SECTION III

1). Claims 68-70 relate to the treatment of human and/or animal bodies. According to Rule 67(1)(iv) an examination is not required for such claims.

### SECTION V

- 2). Relevant prior art is represented by:
  - D1: ELOFSSON M ET AL: 'TOWARDS SUBUNIT-SPECIFIC PROTEASOME INHIBITORS: SYNTHESIS AND EVALUATION OF PEPTIDE ALPHA', BETA'-EPOXYKETONES' CHEMISTRY AND BIOLOGY, CURRENT BIOLOGY, LONDON, GB, vol. 6, no. 11, 1995, pages 811-822, XP001002198 ISSN: 1074-5521 cited in the application
  - D2: SIN N ET AL: 'Total synthesis of the potent proteasome inhibitor epoxomicin: a useful tool for understanding proteasome biology' BIOORGANIC & MEDICINAL CHEMISTRY LETTERS, OXFORD, GB, vol. 9, no. 15, 2 August 1999 (1999-08-02), pages 2283-2288, XP004174176 ISSN: 0960-894X cited in the application
  - D3: ADAMS JULIAN ET AL: 'Proteasome inhibitors: A novel class of potent and effective antitumor agents' CANCER RESEARCH, AMERICAN ASSOCIATION FOR CANCER RESEARCH, BALTIMORE, MD, US, vol. 59, no. 11, 1 June 1999 (1999-06-01), pages 2615-2622, XP002168152 ISSN: 0008-5472
  - D4: IQBAL M ET AL: 'POTENT ALPHA-KETOCARBONYL AND BORONIC ESTER DERIVED INHIBITORS OF PROTEASOME' BIOORGANIC & MEDICINAL CHEMISTRY, ELSEVIER SCIENCE LTD, GB, vol. 6, no. 3, 1996, pages 287-290, XP000791145 ISSN: 0968-0896
  - D5: MOMOSE, ISAO ET AL: 'Tyropeptins A and B, new proteasome inhibitors produced by Kitasatospora sp. MK993-dF2. I. Taxonomy, isolation, physicochemical properties and biological activities' JOURNAL OF ANTIBIOTICS (2001), 54(12), 997-1003, XP002252184
  - D6: HARDING, CLIFFORD V. ET AL: 'Novel dipeptide aldehydes are proteasome inhibitors and block the MHC-I antigen-processing pathway'

    JOURNAL OF IMMUNOLOGY (1995), 155(4), 1767-75, XP002252185
  - D7: GARDNER, ROBERT C. ET AL: 'Characterization of peptidyl boronic acid

### **EXAMINATION REPORT - SEPARATE SHEET**

inhibitors of mammalian 20 S and 26 S proteasomes and their inhibition of proteasomes in cultured cells' BIOCHEMICAL JOURNAL (2000), 346(2), 447-454 XP002252186

D8: SUN, JIAZHI ET AL: 'CEP1612, a dipeptidyl proteasome inhibitor, induces p21WAF1 and p27KIP1 expression and apoptosis and inhibits the growth of the human lung adenocarcinoma A-549 in nude mice' CANCER RESEARCH (2001), 61(4), 1280-1284, XP002252187

D9: WO 96 13266 A (PROSCRIPT, INC.) 9 May 1996 (1996-05-09)

WO 95 24914 A (MYCOGENICS, INC.) 21 September 1995 (1995-09-D10: 21)

WO 02 096933 A (NOVARTIS AG) 5 December 2002 (2002-12-05) D11:

D12: WO 03 033506 A (KYORIN PHARMACEUTICALS, CO., LTD.) 24 April 2003 (2003-04-24)

- Although the amendments carried out by the applicant seem to incorporate now subject-matter which was not disclosed in the application as originally filed, an opinion with regard to novelty and inventive step will be given as if the current claims were supported by the description (see for example, replacement for the sum of x, y and z is 0-6 by 2-6; there is apparently no fall-back position for such values).
- In view of the applicant's analysis provided with his letter of 19.11.2003, it appears that the set of claims is novel vis-à-vis the cited prior art.
- The problem underlying the current application appears to be the provision of further derivatives which can inhibit proteasomes (see page 2, last line).

An inventive step cannot be acknowledged in view of D9, since this document clearly discloses compounds having the same properties as those of the current application (see page 4, lines 11-12).

The applicant has clearly disclaimed some compounds of this document (see letter of 19.11.2003). Hence, if a disclaimer can render a claim novel, it cannot be used to render it inventive.

Moreover, the wording of the claims contains unlimited terms like "optionally substituted", "aryl", "heterocyclyl", "alicyclic", "aliphatic", "protecting group", "prodrug" and derivatives thereof which lead to an infinite number of compounds which cannot

### INTERNATIONAL PRELIMINARY

International application No. PCT/US03/00390

**EXAMINATION REPORT - SEPARATE SHEET** 

inherently represent a solution to the given problem.

An inventive step is not acknowledged.

There is no objection with regard to industrial applicability. 6).

are useful, for example, for the treatment of various disorders involving proteasome activity, including, for example, cancer, immune or inflammatory disorders, or HIV.

### BRIEF DESCRIPTION OF THE DRAWING

[0006] Figure 1 is a graphical representation depicting comparative human breast carcinoma cell growth inhibition of Paclitaxel and exemplary inventive compounds.

### DESCRIPTION OF CERTAIN PREFERRED EMBODIMENTS OF THE INVENTION

[0007] As discussed above, the demonstrated antitumor and anti-inflammatory activity of the natural products epoxomicin and eponemycin, as well as their ability to inhibit the 20S proteasome, has led to increased interest in the synthesis and biological investigation of these compounds and epoxyketones generally. In recognition of the need to further develop the therapeutic potential of this class of compounds, the present invention provides novel epoxomicin and eponemycin analogs. In certain embodiments, the compounds of the present invention can be used for the treatment of cancer and inflammatory disorders. More generally, in certain other embodiments, the compounds of the invention act as proteasome inhibitors.

### [0008]. 1) General Description of Compounds of the Invention

The compounds of the invention include compounds of the general formula (I) as further defined below:

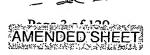
$$\begin{array}{c|c} R_{2} & \stackrel{R_{1}}{\longrightarrow} (A)_{x(1)_{y}} & \stackrel{H}{\longrightarrow} 0 & \stackrel{R_{6}}{\longrightarrow} \\ R_{3} & \stackrel{E}{\longrightarrow} (E)_{z} & \stackrel{O}{\longrightarrow} (G)_{w} & \stackrel{H}{\longrightarrow} N & \stackrel{Q}{\longrightarrow} Q \end{array}$$

$$(I)$$

and pharmaceutically acceptable derivatives thereof;

wherein each occurrence of A, J, E, D or G is independently absent, CR<sub>A</sub>, CR<sub>A</sub>R<sub>B</sub>, C=O, O, S, NR<sub>A</sub>, or N, wherein each occurrence of R<sub>A</sub> and R<sub>B</sub> is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety;

A and J, J and D, D and E, and D and G are each independently linked by a single or double bond as valency permits;



1



#### **CLAIMS**

### 1. A compound having the structure (I):

$$\begin{array}{c|c}
R_2 & & \\
R_3 & & \\
R_4 & & \\
\end{array}$$

$$\begin{array}{c|c}
R_1 & & \\
C & & \\
\end{array}$$

$$\begin{array}{c|c}
A \\
C & \\
C & \\
\end{array}$$

$$\begin{array}{c|c}
C & \\
C & \\
\end{array}$$

$$\begin{array}{c|c}
C & \\$$

and pharmaceutically acceptable derivatives thereof;

wherein each occurrence of A, J, E, D or G is independently CR<sub>A</sub>, CR<sub>A</sub>R<sub>B</sub>, C=O, O, S, NR<sub>A</sub>, or N, wherein each occurrence of R<sub>A</sub> and R<sub>B</sub> is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety;

A and J, J and D, D and E, and D and G are each independently linked by a single or double bond as valency permits;

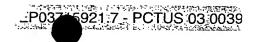
w, x, y and z are each independently 0, 1, 2, 3, 4, 5 or 6, but the sum of x, y and z is 2-6;

 $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each independently hydrogen, halogen, -CN, -OR<sub>C</sub>, -SR<sub>C</sub>, -NR<sub>C</sub>R<sub>D</sub>, -(C=O)R<sub>C</sub> or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, wherein each occurrence of  $R_C$  and  $R_D$  is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or  $R_C$  and  $R_D$ , taken together, form a heteroalicyclic or heteroaryl moiety; or wherein any two adjacent groups  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$ , taken together, form an alicyclic or heteroalicyclic moiety, or an aryl or heteroaryl moiety:

 $R_5$  and  $R_6$  are each independently an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety; and

Q is an epoxycarbonyl moiety having the structure: 
$$O$$
, or a boron-

containing moiety having the structure:  $OR^{Q2}$ ; wherein wherein  $R^{Q1}$  and  $R^{Q2}$  are each independently hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or an oxygen protecting group, or  $R^{Q1}$  and  $R^{Q2}$ , taken together, form a



heteroalicyclic moiety; or, when Q is an epoxyxarbonyl moiety, RQI may also be a prodrug moiety;

with the proviso that, when Q is a boron-containing moiety having the structure:

(i)

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(E)_z$ 
 $(G)_w$ 
 $(E)_z$ 
 $(G)_w$ 
 $(E)_z$ 
 $(E$ 

R<sup>Y</sup> is aryl, heterocyclyl, aylalkylcarbonyl or heterocyclylalkylcarbonyl;

- (ii) if D is N or CH, and (a) w is 0, or (b) w is 1 and G is -CH(OH)-CH<sub>2</sub>-, then neither occurrence of J nor E attached to D, nor the occurrence of A attached to D when y is 0, is a nitrogen atom substituted with hydrogen or a nitrogen protecting group typically employed in peptide synthesis;
- (iii) when w is other than 0, then the occurrence of G attached to D is not N or CH substituted with -NR<sup>x</sup>R<sup>y</sup> where R<sup>x</sup> is hydrogen or alkyl and R<sup>y</sup> is hydrogen or a nitrogen protecting group typically employed in peptide synthesis; and/or

(iv) 
$$R_3$$
 $R_4$ 
 $R_4$ 
 $R_4$ 
 $R_4$ 
 $R_5$ 
 $R_4$ 
 $R_5$ 
 $R_4$ 
 $R_5$ 
 $R_6$ 
 2. The compound of claim 1, wherein the compound has the structure:

$$\begin{array}{c|c} R_1 & A \\ \hline \\ R_3 & C \\ \hline \\ R_4 & C \\ \hline \end{array}$$

3. A compound not comprising more than two consecutive  $\alpha$ -amino acid residues having the structure:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(E)_z$ 
 $D$ 
 $H$ 
 $N$ 
 $R_5$ 
 $N$ 
 $R_6$ 

and pharmaceutically acceptable derivatives thereof;

wherein each occurrence of E and D is independently absent, CR<sub>A</sub>, CR<sub>A</sub>R<sub>B</sub>, C=O, O, S, NR<sub>A</sub>, or N, wherein each occurrence of R<sub>A</sub> and R<sub>B</sub> is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety;

D and E are each independently linked by a single or double bond as valency permits; z is 0, 1, 2, 3, 4, 5 or 6;

 $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each independently hydrogen, halogen, -CN, -OR<sub>C</sub>, -SR<sub>C</sub>, -NR<sub>C</sub>R<sub>D</sub>, -(C=O)R<sub>C</sub> or an aliphatic, Page 114 of 129 atic, heteroalicyclic, aryl or heteroaryl moiety, wherein each occurrence of  $R_C$  and  $R_D$  is independently hydrogen, a protecting group, or an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or  $R_C$  and  $R_D$ , taken together, form a heteroalicyclic or heteroaryl moiety; or wherein any two adjacent groups  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$ , taken together, form an alicyclic or heteroalicyclic moiety, or an aryl or heteroaryl moiety;

 $R_5$  and  $R_6$  are each independently an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety; and

۶<sup>۲</sup>۰٫OR<sup>Q1</sup>

containing moiety having the structure:  ${}^{0}R^{Q2}$ ; wherein wherein  $R^{Q1}$  and  $R^{Q2}$  are each independently hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or an oxygen protecting group, or  $R^{Q1}$  and  $R^{Q2}$ , taken together, form a heteroalicyclic moiety; or, when Q is an epoxyxarbonyl moiety,  $R^{Q1}$  may also be a prodrug moiety;

with the proviso that, when Q is a boron-containing moiety having the structure:

3.

(i)

$$R_{2}$$
 $R_{3}$ 
 $R_{4}$ 
 $R_{4}$ 
 $R_{5}$ 
 $R_{7}$ 
 $R_{8}$ 
 $R_{9}$ 
 $R_{1}$ 
 $R_{2}$ 
 $R_{3}$ 
 $R_{4}$ 
 $R_{4}$ 
 $R_{5}$ 
 $R_{7}$ 
 $R_{1}$ 
 $R_{2}$ 
 $R_{3}$ 
 $R_{4}$ 
 $R_{5}$ 
 $R_{7}$ 
 $R_{1}$ 
 $R_{2}$ 
 $R_{3}$ 
 $R_{4}$ 
 $R_{5}$ 
 $R_{7}$ 
 $R_{1}$ 
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 $R_{7}$ 
 $R_{7}$ 
 $R_{1}$ 
 $R_{2}$ 
 $R_{3}$ 
 $R_{4}$ 
 $R_{5}$ 
 $R_{5}$ 
 $R_{7}$ 
 $R_{1}$ 
 $R_{2}$ 
 $R_{3}$ 
 $R_{4}$ 
 $R_{5}$ 
 $R_{5$ 

 $R^{Y}$  is aryl, heterocyclyl, aylalkylcarbonyl or heterocyclylalkylcarbonyl;

- (ii) at least one of R<sub>1</sub>-R<sub>4</sub> is not H;
- (iii) if -(E)<sub>z</sub>-D- is -CH<sub>2</sub>- and one of R<sub>1</sub>-R<sub>4</sub> is MeO- or halogen, then the others are not each hydrogen;
- (iv) the occurrence of E attached to phenyl, or D when z is 0, is not N or CH substituted with -NR<sup>x</sup>R<sup>y</sup> where R<sup>x</sup> is hydrogen or alkyl and R<sup>y</sup> is hydrogen or a nitrogen protecting group typically employed in peptide synthesis; and/or

$$R_3$$
 $R_4$ 
 $(E)_z^D$ 
 $O$ 
is not a nitrogen protecting group typically

employed in peptide synthesis.

(v)

4. The compound of claim 3, wherein the compound has the structure:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(E)_z$ 
 $D$ 
 $H$ 
 $O$ 
 $R_6$ 
 $R_5$ 
 $N$ 
 $Q$ 

5. The compound of claim 1, wherein  $R_5$  is  $-CH_2OR_{5a}$  and the compound has the structure:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(A)_{X_1/y}$ 
 $(B)_z$ 
 $(B)_z$ 
 $(B)_z$ 
 $(A)_{W}$ 
 $(B)_z$ 
 $(B$ 



wherein  $R_{5a}$  is hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, an oxygen protecting group or a prodrug moiety.

6. The compound of claim 1, wherein  $R_5$  is arryl or heteroaryl and the compound has the structure:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(A)$ 
 wherein AR is an aryl or heteroaryl moiety.

7. The compound of claim 1, wherein  $R_5$  is  $-CH_2NR_{5a}R_{5b}$  or heteroaryl and the compound has the structure:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(E)_z^{D-(G)}$ 
 $R_5$ 
 $R_5$ 

wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, a nitrogen protecting group, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or a prodrug, or  $R_{5a}$  and  $R_{5b}$ , taken together, form a heteroalicyclic or heteroaryl moiety.

10. The compound of claim 1, wherein  $R_5$  is  $-CH_2OR_{5a}$  and the compound has the structure:

wherein  $R_{5a}$  is hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, an oxygen protecting group or a prodrug moiety.

11. The compound of claim 1, wherein  $R_5$  is aryl or heteroaryl and the compound has the structure:

$$\begin{array}{c|c} R_{2} & \begin{array}{c} R_{1} \\ \end{array} & \begin{array}{c} A \\ \end{array}$$

wherein AR is an aryl or heteroaryl moiety.

12. The compound of claim 1, wherein  $R_5$  is  $-CH_2NR_{5a}R_{5b}$  or heteroaryl and the compound has the structure:

$$\begin{array}{c|c} R_1 & A \\ R_2 & A \\ R_3 & E \\ \end{array} \begin{array}{c} A \\ X \\ E \\ Z \end{array} \begin{array}{c} A \\ Y \\ Z \end{array} \begin{array}{c} A \\ Z \end{array} \begin{array}{c} A \\ Z \\ Z \end{array} \begin{array}{c} A \\ Z \end{array} \begin{array}{c} A \\ Z \\ Z \end{array} \begin{array}{c} A \\ Z \end{array} \begin{array}{c} A \\ Z \\ Z \end{array} \begin{array}{c} A \\ Z$$

wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, a nitrogen protecting group, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or a prodrug, or  $R_{5a}$  and  $R_{5b}$ , taken together, form a heteroalicyclic or heteroaryl moiety.

- 13. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1, and A, J, D, and E are each  $CH_2$ .
- 14. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein w, x and y are each 0.
- 16. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein G is CH<sub>2</sub> and w is 0, 1, or 2.
- 17. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1; A, J, D, and E are each CH<sub>2</sub>; G is CH<sub>2</sub> and w is 0, 1, or 2.
- 18. The compound of claim 1, wherein x, y and z are each 1; A, J, D, and E are each CH<sub>2</sub> and the compound has the structure:



wherein RQ3 is lower alkyl and p is an integer from 0-4.

- 38. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1 and A-J-D-E together represent -CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>
- 39. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x is 0, y and z are each 1, and J-D-E together represent  $-CH_2-CH_2-CH_2$ .
- 40. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x is 0, z is 0 and E is absent and J-D together represents -CH<sub>2</sub>-CH<sub>2</sub>-.
- 41. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1 and A-J-D-E together represent -N=CH-CH=N.
- 42. The compound of any one of claims 1, 2, 5-7, and 10-12, wherein x, y and z are each 1 and A-J-D-E together represent -CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>- and G is CH<sub>2</sub> and w is 0, 1 or 2.
- 43. The compound of any one of claims 1-7, 10-12 and 18-23, wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> are each independently hydrogen, halogen, protected or unprotected hydroxyl, protected or unprotected thiol, protected or unprotected amino, alkyl, alkoxy, thioalkyl, mono-or disubstituted alkylamino, or wherein any two adjacent groups R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> or R<sub>4</sub>, taken together are a cycloalkyl, heterocycloalkyl, aryl or heteroaryl moiety,

whereby each of the alkyl moieties is independently substituted or unsubstituted, linear or branched, cyclic or acyclic, and each of the aryl and heteroaryl moieties is independently substituted or unsubstituted.

44. The compound of any one of claims 1-7, 10-12 and 18-23, wherein  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each independently hydrogen or lower alkoxy.



- 45. The compound of any one of claims 1-7, 10-12 and 18-23, wherein  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each independently hydrogen or methoxy.
- 46. The compound of any one of claims 1-7, 10-12 and 18-23, wherein  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are each methoxy.
- 47. The compound of any one of claims 1-7, 10-12 and 18-23, wherein  $R_1$  is hydrogen and each of  $R_2$ ,  $R_3$  and  $R_4$  are independently lower alkoxy.
- 48. The compound of any one of claims 1-7, 10-12 and 18-23, wherein  $R_1$  is hydrogen and each of  $R_2$ ,  $R_3$  and  $R_4$  are methoxy.
- 49. The compound of any one of claims 1-4, wherein  $R_5$  is alkyl, cycloalkyl, alkenyl, cycloalkenyl, alkynyl, cycloalkynyl,  $C_{1-6}OR_{5a}$ ,  $C_{1-6}NR_{5a}R_{5b}$ , aryl or heteroaryl; wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkenyl, aryl, heteroaryl,  $-C(NH_2)=N(NO_2)$ ,  $-C(=O)OR_{5c}$ ,  $-C(=O)R_{5c}$  or a protecting group; wherein  $R_{5c}$  is hydrogen, alkyl, alkenyl, alkynyl, aryl or heteroaryl.
- 50. The compound of any one of claims 1-4, wherein  $R_5$  is alkyl, cycloalkyl,  $-CH_2OR_{5a}$ ,  $-CH_2NR_{5a}R_{5b}$ ,  $-CH_2$ aryl or  $-CH_2$ heteroaryl; wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkenyl, aryl, heteroaryl,  $-C(NH_2)=N(NO_2)$ ,  $-C(=O)OR_{5c}$ ,  $-C(=O)R_{5c}$  or a protecting group; wherein  $R_{5c}$  is hydrogen, alkyl, alkenyl, aryl or heteroaryl.
- 51. The compound of any one of claims 1-4, wherein  $R_5$  is alkyl, cycloalkyl,  $CH_2OR_{5a}$ ,  $CH_2NR_{5a}R_{5b}$  or substituted or unsubstituted - $CH_2Ph$ ; wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkenyl, aryl, heteroaryl, - $C(NH_2)=N(NO_2)$ , - $C(=O)OR_{5c}$ , - $C(=O)R_{5c}$  or a protecting group; wherein  $R_{5c}$  is hydrogen, alkyl, alkenyl, alkynyl, aryl or heteroaryl.
- 52. The compound of any one of claims 1-4, wherein R<sub>5</sub> is -CH<sub>2</sub>OH or benzyl.



- 53. The compound of any one of claims 1-4, wherein R<sub>6</sub> is alkyl, cycloalkyl, alkenyl, cycloalkynyl, aryl or heteroaryl.
- 54. The compound of any one of claims 1-4, wherein R<sub>6</sub> is lower alkyl or aryl.
- 55. The compound of any one of claims 1-4, wherein  $R_6$  is -CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>.
- 57. The compound of claim 1, 2, 3 or 4, wherein Q has the structure:

58. The compound of claim 57, wherein Q has the structure:

- 60. The compound of claim 1, 2, 3 or 4, wherein Q is -B(OH)<sub>2</sub>.
- 61. The compound of claim 1, 2, 3 or 4, wherein Q has the structure:

wherein RQ3 is lower alkyl and p is an integer from 0-4.

62. The compound of claim 61, wherein Q has the structure:



- 63. A pharmaceutical composition comprising a compound of any one of claims 1-7, 10-12 and 18-35; and
- a pharmaceutically acceptable carrier or diluent, and optionally further comprising an additional therapeutic agent.
- 64. The pharmaceutical of claim 63 wherein the compound is present in an amount effective to exert an antiproliferative and/or anticancer effect.
- 65. The pharmaceutical of claim 63 wherein the compound and the additional therapeutic agent are present in an amount effective to exert an antiproliferative and/or anticancer effect.
- 66. The pharmaceutical of claim 63 wherein the compound is present in an amount effective to exert an anti-inflammatory effect.
- 67. The pharmaceutical of claim 63 wherein the compound and the additional therapeutic agent are present in an amount effective to exert an anti-inflammatory effect.
- 68. A method for treating cancer comprising:

  administering to a subject in need thereof a therapeutically effective amount of a compound of any one of claims 1-7, 10-12 and 18-35; and optionally further administering an additional therapeutic agent.
- 69. The method of claim 68, wherein the method is used to treat prostate, breast, colon, bladder, cervical, skin, testicular, kidney, ovarian, stomach, brain, liver, pancreatic or esophageal cancer or lymphoma, leukemia, or multiple myeloma.
- 70. The method of claim 68, wherein the cancer is a solid tumor.

71. The compound of claim 3 having the structure:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(E)_z$ 
 $D$ 
 $H$ 
 $O$ 
 $R_6$ 
 $OR_{5a}$ 

wherein  $R_{5a}$  is hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, an oxygen protecting group or a prodrug moiety.

72. The compound of claim 3 having the structure:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(E)_z$ 
 $D$ 
 $N$ 
 $R_6$ 
 $N$ 
 $AR$ 

wherein AR is an aryl or heteroaryl moiety.

73. The compound of claim 3 having the structure:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(E)_z$ 
 $D$ 
 $H$ 
 $O$ 
 $R_6$ 
 $N$ 
 $H$ 
 $O$ 
 $N$ 
 $R_{5a}$ 

wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, a nitrogen protecting group, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or a prodrug, or  $R_{5a}$  and  $R_{5b}$ , taken together, form a heteroalicyclic or heteroaryl moiety.

74. The compound of claim 3 having the structure:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(E)_z$ 
 $D$ 
 $N$ 
 $R_5$ 
 $N$ 
 $Q$ 

75. The compound of claim 3 having the structure:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $(E)_z$ 
 $D$ 
 $H$ 
 $Q$ 
 $OR_{5a}$ 

wherein R<sub>5a</sub> is hydrogen, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, an oxygen protecting group or a prodrug moiety.

76. The compound of claim 3 having the structure:

wherein AR is an aryl or heteroaryl moiety.

77. The compound of claim 3 having the structure:

$$R_{2}$$
 $R_{3}$ 
 $R_{4}$ 
 $R_{4}$ 
 $R_{5b}$ 
 $R_{5b}$ 
 $R_{1}$ 
 $R_{2}$ 
 $R_{2}$ 
 $R_{3}$ 
 $R_{4}$ 
 $R_{5b}$ 
 $R_{5b}$ 
 $R_{5b}$ 

wherein  $R_{5a}$  and  $R_{5b}$  are each independently hydrogen, a nitrogen protecting group, an aliphatic, alicyclic, heteroaliphatic, heteroalicyclic, aryl or heteroaryl moiety, or a prodrug, or  $R_{5a}$  and  $R_{5b}$ , taken together, form a heteroalicyclic or heteroaryl moiety.

- 78. The compound of any one of claims 2, 3 and 71-77, wherein D is absent and z is 0.
- 79. The compound of any one of claims 2, 3 and 71-77, wherein Q is -B(OH)<sub>2</sub>.
- 80. The compound of any one of claims 2, 3 and 71-77, wherein Q is a moiety having the structure:

wherein RQ3 is lower alkyl and p is an integer from 0-4.

81. The compound of any one of claims 2, 3 and 71-77, wherein Q is a moiety having the structure:

82. The compound of claim 81, wherein Q is a moiety having the structure: